

Doctoral Regulations
for the Faculty of Law, Economics and Social Sciences
at the University of Erfurt

as amended on
March 10, 2011

Translation of the original

Please note that this document is not legally binding. The true legal version is the original German version.

In accordance with paragraph 3, subsection 1 of the Thuringian Higher Education Act (Thüringer Hochschulgesetz), disclosed on December 21, 2006 (law and ordinance gazette, p. 601, edited as per § 15 of the March 20, 2009 act), in conjunction with § 14, subsection 1 of the Constitution of the University of Erfurt from May 21, 2008 (from the official journal of the Thuringian Ministry of Education No. 6/2008 p. 207), the following regulations for doctoral degrees have been issued for the Faculty of Law, Economics and Social Sciences; the faculty council of the Faculty of Law, Economics and Social Sciences enacted this statute on October 27, 2010. This statute has been authorized by the president of the University of Erfurt.

Part 1
Doctoral Requirements

§ 1

Doctoral Degree

The Faculty of Law, Economics and Social Sciences at the University of Erfurt grants the following doctoral degrees: Doctor of Law (Dr. iur.); Doctor of Social Science (Dr. rer. pol.); and Doctor of Economics (Dr. rer. pol.).

§ 2

Doctoral Examination Components

The doctoral examination components indicate the ability of a doctoral candidate to produce advanced academic work. The components are comprised of a written work (dissertation, § 11) and an oral exam (disputation, § 16).

§ 3

Authorized Doctoral Evaluators

Professors at the university, habilitated members of the Faculty of Law, Economics and Social Sciences, members of the doctoral committee, or in some cases an appointed habilitated supervisor are all eligible to evaluate doctoral examination components.

§ 4

Doctoral Thesis Committee (Dissertation Committee)

(1) The doctoral thesis committee is in charge of all procedures, except for the duties for which the examination committee (§ 10) is responsible, which lead to the allocation of the doctoral degree.

(2) The dean, who has the option of delegating this responsibility to the vice dean, is the chairman of the doctoral thesis committee. Other members of the doctoral thesis committee include three additional authorized doctoral evaluators or members of the Faculty of Law, Economics and Social Sciences. At least two of the members must be professors. If only two of the three additional members are professors, the additional member must be a research fellow and/or teaching assistant with a doctoral degree. The members of the committee should represent each field of study within the Faculty of Law, Economics and Social Sciences.

(3) The doctoral thesis committee will be elected by the faculty council for a period of two years.

(4) All decisions made by the doctoral thesis committee require a majority vote of its members. A member's vote cannot be devolved. The doctoral thesis committee can make decisions via a resolution by circulation procedure if no member vetoes this action.

(5) If a decision is vetoed by a committee member the president makes a decision after consulting a respective decision-making body (doctoral thesis committee, examinations committee, dean).

§ 5

Acceptance as a Doctoral Candidate

(1) The doctoral degree as defined through its examination components implies the acceptance of a student as a doctoral candidate.

(2) A doctoral candidate can be:

(a) someone who has a Master, Magister, Diplom, or an equivalent degree from a foreign institution in the field of Political Science (Law, Social Sciences, Economics) at a university or another equivalent and recognized educational institution (exam criteria);

(b) someone who received a grade of 2,5 or better in the aforementioned field of study or someone who passed the juristic state exam with a minimum grade of "satisfactory" (vollbefriedigend) (quality criteria);

(c) someone who is planning a dissertation within his or her own field of study, which complements a research area within the Faculty of Law, Economics and Social Sciences (compatibility criteria) and

(d) someone who presents a justifiable dissertation concept that complies with the requirements of the dissertation (§ 11) (outlook criteria),

(e) someone who has a written declaration from an eligible doctoral evaluator (§ 3) indicating the readiness of the person to undertake a doctoral dissertation.

The requirements as per points c) and d) must be confirmed via a written statement from an eligible doctoral evaluator.

(3) Those who have begun doctoral studies more than once without successful completion of a doctoral degree, those who have had a doctor title revoked as a result of legal proceedings, or those who have had been dismissed from previous doctoral studies because of deceitful actions, cheating and/or plagiarism will not be accepted as doctoral candidates.

(4) If the applicant notwithstanding from Section 2 part a) completed a program in any field of study apart from political science (law, social sciences or economics) or if an applicant is planning a dissertation notwithstanding from Section 2 part c) in a field of study other than his/her previous field of study, the doctoral thesis committee will determine the applicant's acceptance based on the advisory opinion of a qualified examiner (other than the examiner mentioned in Section 2). If the applicant is missing the quality requirement (Section 2 part b)), the applicant must have a satisfactory mark of 3,5 or higher and the doctoral thesis committee will decide if the applicant is accepted based on the advisory opinions of two qualified examiners. One of the two examiners is permitted to be the same examiner as in Section 2 and Section 4.

(5) For graduates of universities of applied sciences, the admission requirements in §6 apply.

(6) In some cases there can be some admissions restrictions for prospective doctoral candidates. Concerning these restrictions please refer to Section 2 and if necessary Section 4.

§ 6

Aptitude for Doctoral Studies for Graduates of Universities of Applied Sciences

(1) Graduates of universities of applied sciences who have obtained degrees at the level equivalent to a Master, Magister or Diplom must substantiate these qualifications in the application. To prove the prospective candidate's aptitude for doctoral studies, the applicant must

(a) have a final grade of 1,5 or higher (or a comparable qualification) in a degree program directly connected to the field of study in the doctoral program and

(b) as proof of familiarity and competency with the theoretical subject matter of the desired doctoral program, the applicant must substantiate that he/she has received the grade of at least "very good" (or a comparable qualification) in two university seminars relevant to the desired doctoral field of study, and

(c) have not taken a doctoral studies aptitude test (or a comparable test) at another institution whereby he/she did not complete the test successfully.

(2) The application must be submitted to the chairman of the doctoral thesis committee in writing. The application must include

(a) proof of the aforementioned qualifications as per Section 1 parts a) and b),

(b) a written explanation ascertaining that the applicant has not already unsuccessfully taken a doctoral studies aptitude test or a comparable exam at another institution.

(3) The doctoral thesis committee determines the readiness of an applicant for doctoral studies. The committee is required to deny an application if the aforementioned qualifications are not met.

(4) The same guidelines of acceptance into a doctoral program apply to graduates of universities of applied sciences with a master's degree as for those with master's degrees from a university (as per § 54 Section 5 page 3 of the Thuringian Higher Education Act).

§ 7

Acceptance Procedures

(1) The chairman of the doctoral thesis committee is ultimately responsible for accepting doctoral candidates. The application must include the documents mentioned in § 5 Section 2. In addition, the applicant must include a curriculum vitae as well as a written statement explaining if there is any reason why the application might be rejected as per §5 Section 3.

(2) In order to create a homogeneity of the qualifications for students with degrees from foreign universities (according to §5 Section 2 parts a) and B)), the doctoral thesis committee can request the expert opinion of the Central Office of International Education (Zentralstelle für Ausländisches Bildungswesen) when applicable.

(3) The applicant will be notified in writing of his/her acceptance within eight weeks. The expiration of this eight week period does not affect the acceptance of the doctoral candidate. The doctoral thesis committee will appoint the qualified evaluator, who submitted the written declaration as per § 5 Section 2 part e), as well as recommending another qualified evaluator to the doctoral candidate as their personal supervisor. If the application is rejected, the applicant also has the right to contest the decision.

§ 8

Doctoral Candidate Status

(1) When a doctoral candidate is accepted, the Faculty of Law, Economics and Social Sciences is required to provide the doctoral candidate with full access to the department's research facilities, as well as advising the candidate in his/her work and evaluating the doctoral dissertation once the candidate has handed it in.

(2) The doctoral candidate title expires after five years, assuming that the candidate does not submit an application for an extension. The application for an extension is possible in accordance with § 5 Section 2 part d). The doctoral thesis committee is responsible for all decisions regarding extensions.

§ 9

The Commencement of Examination Procedures

(1) Examination procedures begin when the following documents are submitted:

- six copies of the dissertation, as stated in Appendix 1 ,
- a written statement from the doctoral candidate stating that the dissertation was independently written apart from specified assistance, and all quoted or paraphrased material has been properly cited (Appendix 6),
- a written statement from the doctoral candidate that the dissertation or a similar version of the dissertation has not been submitted to any other institutions and that parts of the dissertations have not been previously used by the candidate to fulfill any other academic requirements (Anhang 7),
- a current copy of the candidate's curriculum vitae citing the candidate's doctoral studies and the related academic activities,
- an official criminal record certificate,
- proof of acceptance into a doctoral program from the Faculty of Law, Economics and Social Sciences and
- proof of the completion of the contingent requirements as stated in the acceptance letter.

(2) The doctoral candidate must notify the chairman of the doctoral thesis committee about the commencement of the examination procedures. The request to commence the examination procedures should include all documents mentioned in Section 1. The doctoral candidate has the option to suggest evaluators for the dissertation. After the commencement of the examination procedures, the doctoral candidate can only retract the request with the permission of the doctoral thesis committee. The effective retraction of the request will terminate the proceedings immediately, and in such cases the request will be considered invalidated.

(3) All decisions regarding the request to commence the doctoral examination procedures are made by the doctoral thesis committee. Assuming that the committee approves the request, it will also simultaneously appoint the examinations commission as well as the evaluators. The chairman of the doctoral thesis committee will inform the doctoral candidate of these decisions. If the doctoral candidate is informed that the request to commence the examination procedures has been denied, he/she will have the option to contest the decision.

§ 10

Examinations Commission

- (1) For every doctoral examination procedure an examinations commission is assembled. The commission is responsible for evaluating the doctoral work.
- (2) The examinations commission is appointed by the doctoral thesis committee if the committee has decided that examination procedures for a particular doctoral candidate may begin.
- (3) The examinations commission is made up of the following members:
 - an evaluator as per § 12,
 - an additional professor, researcher or teaching assistant with a doctoral degree

The chairman will monitor the advisor or one of the evaluators. In addition, another professor will also be a representative in the examinations commission.

- (4) Decisions made by the examinations commission require the majority of its members' votes to pass. A member's right to vote cannot be devolved. The examinations commission has the quorum when all members of the commission are present.

§ 11

Doctoral Dissertation

- (1) The doctoral dissertation is an academic discourse. The dissertation should deconstruct a scientific question in order to produce a substantial contribution/solution to the question. The dissertation should offer new insights and knowledge. The dissertation must be independently produced by the doctoral candidate and must be written in either English or German. With the approval of the academic advisor, the doctoral thesis commission will allow the submission of a dissertation in another language.
- (2) If a dissertation is comprised of the work of more than one author, the work of the doctoral candidate must be independently recognizable so that it can be individually evaluated, and if necessary, presented as an independent dissertation.

§ 12

Dissertation Evaluators

- (1) When the doctoral thesis committee confirms the commencement of the examination procedures, the committee will appoint two qualified dissertation evaluators. One of the evaluators should be the doctoral candidate's personal academic advisor as per § 5 Section 2 part e).
- (2) In the following cases the doctoral thesis committee can appoint another qualified dissertation evaluator:
 1. if one evaluator gives the grade of "insufficient".
 2. if the evaluators assign grades that differ by more than one full grade point.
 3. if at any time one of the evaluators suggests it.
 4. if there is not a majority agreement amongst the committee in regards to the acceptance of a dissertation, § 15 Section 1.

§ 13

Assessment of the Dissertation

(1) Every appointed evaluator, as per § 12, must submit a written evaluation to the committee within three months, specifying if the dissertation fulfills the requirements as stated in § 11, as well as suggesting an appropriate grade for the dissertation. Furthermore, evaluators must also ensure that the dissertation was independently written, apart from specified assistance, and all quoted or paraphrased material has been properly cited (including the candidate's previous academic work and publications) as specified in § 9 Section 2 in the second bullet point.

(2) According to § 14, every member of the Faculty of Law, Economics and Social Sciences, who is qualified as an doctoral evaluator, is entitled to submit a written vote about the dissertation. The vote is to be presented to the doctoral thesis committee, who will then forward it to the examinations commission. With the approval of the examinations commission, written votes can be considered when evaluating the dissertation, §17. The doctoral thesis committee must then substantiate their decision to consider written votes and send a written notification of this decision to the doctoral candidates.

§ 14

Review and Assessment of the Dissertation

(1) After the receipt of the evaluations as stated in § 12, the dissertations and the evaluations will be kept with the dean for a period of two weeks (six weeks in the lecture-free time period). Every member of the Faculty of Law, Economics and Social Sciences, who is a qualified doctoral evaluator, is entitled to review the dissertations and evaluations. The dean is responsible to inform the qualified faculty members about the times available to review the dissertations and evaluations via a department newsletter. The timeframe to review the documents begins the day after the information has been sent to the faculty. Provided that the written votes have been submitted during the timeframe (according to § 13 Section 2), their interpretations will be communicated separately.

(2) The doctoral candidate is entitled to examine the evaluations in the dean's office. The dean will inform the doctoral candidate in a written statement of the timeframe when he/she can examine the evaluations.

§ 15

Acceptance and Rejection of the Dissertation

(1) After the timeframe to review the dissertation and evaluations is over, the examinations commission must promptly decide about the acceptance and the evaluations of the dissertation. If a majority decision is not reached, the doctoral thesis committee must appoint an additional evaluator.

(2) If the dissertation is rejected the doctoral thesis committee will terminate the doctoral examination procedures and will inform the doctoral candidate in a written statement along with providing the candidate with his/her rights of legal appeal. The doctoral candidate will have one more chance to submit an edited version of the dissertation along with an appeal. A copy of the rejected version of the dissertation will be used for and remain in university records.

(3) The examinations commission can confer editions of the accepted dissertation for publication, § 19. Taking into account the evaluations, the doctoral candidate is entitled to edit his/her dissertation after the disputation but before publication of the dissertation.

(4) The examinations commission, rather than accepting or rejecting the dissertation, also has the possibility to return the dissertation to the doctoral candidate in order for the candidate to make necessary revisions. The doctoral candidate has a period of six months to edit the dissertation, beginning when the dissertation is returned to the candidate. An edited dissertation that has been resubmitted should be handled as per §§ 13 and 14. If the doctoral candidate chooses not to exercise his/her right to use the additional time to edit the thesis or if the edited version is not submitted in time, the dissertation will be rejected.

§ 16

Disputation (Defense of the Dissertation)

(1) The disputation is the public defense of the dissertation. The disputation should occur in the language in which the dissertation is written.

(2) After accepting the dissertation as per § 15, the chairman of the examinations commission invites the doctoral candidate to a disputation. The disputation should take place within six weeks after the completion of the initial review/evaluations, as long as it's not during the lecture-free period, which in this case means that the period could be longer. The date and time of the disputation will be publically communicated.

(3) At least two weeks before the disputation, the doctoral candidate must submit his/her dissertation theses to the chairman of the examinations commission. The chairman will then forward a copy of the theses to all members of the commission.

(4) The disputation is to be in the form of a twenty minute lecture presented by the doctoral candidate. Following the lecture an hour-long academic discussion about the dissertation will take place. The faculty members with a doctoral degree who are not members of the examinations commission can also take place in this discussion.

(5) The chairman of the examinations committee will take minutes during the disputation in order to produce a report. The report will also contain the names of the members of the examinations committee, the length of the disputation, the subjects of the disputation and the grades.

Paragraph 17

Assessment of the Doctoral Examination Components

(1) The assessment of the doctoral examination components are represented by a grade and the respective numerical value:

- summa cum laude	0
- magna cum laude	1
- cum laude	2
- rite	3
- insufficienter	4

(2) The grade of the dissertation as a rule is an average of the grades given by the appointed evaluators. In producing this average, the grade is usually not a whole number and should therefore be rounded after the first decimal place. Deviating decisions from the examinations committee are acceptable if the evaluations are not comprised of matching/consistent evaluations. All deviating decisions must be justified by the examinations commission.

(3) The grade of the disputation as a rule is an average of the grades given by the eligible voting members of the examinations commission. In producing this average, the grade is usually not a whole number and should therefore be rounded after the first decimal place.

(4) The doctoral program has been passed if the dissertation is accepted as per § 15 and the disputation is given a grade of at least a 3,50.

(5) The candidate will receive a cumulative grade for the doctoral program, in which the dissertation grade will be counted by a factor of two and the disputation grade by a factor of one. Grades with a decimal up to 0,5 will be rounded up. Grades with a decimal starting at 0,51 will be rounded down.

(6) The results of the doctoral program in addition to the individual grades and the cumulative grade will be given to the doctoral candidate by the chairman of the committee at the end of the disputation.

Paragraph 18

Invalidity of the Examination Components

(1) If it becomes clear before the distribution of the doctoral certificate that the doctoral candidate has committed plagiarism, other acts of cheating or academic dishonesty, the doctoral thesis committee will declare all previous evaluations of the doctoral work to be invalid and will terminate all proceedings.

(2) If acts of plagiarism, cheating or academic dishonesty become clear after the conferment of the doctoral certificate, the doctoral degree can be retroactively declared a failure. The doctoral thesis committee is responsible for making this decision.

(3) If after the conferment of doctoral degree it is made aware that the doctoral requirements have not been fulfilled as a result of any technical or administrative mistakes and (therefore unbeknownst to the doctoral candidate), the situation will be remedied and the candidate will pass the doctoral program.

(4) In the case of failed doctoral examination components as per Section 2 sentence 2, the doctoral degree certificate is to be confiscated. This can only take place within five years after the date printed on the doctoral certificate.

(5) According to § 53 Section 2 of the Thuringian Higher Education Act (ThürHG), the doctoral title can be revoked, when the title bearer has been proven unworthy of a doctoral title. The doctoral thesis committee is responsible for making the decision regarding revoking a doctoral title.

Paragraph 19

Publication of the Dissertation

(1) After the doctoral candidate has passed his/her disputation, he/she must deliver the following copies of the dissertation (apart from the obligatory copies) to the dean within one year:

1. 80 printed or duplicate copies of the dissertation or
2. 6 copies given that the dissertation has already been independently published and available in bookstores. In this case, it must be recognized in the publishing information that the work is a dissertation completed at the University of Erfurt or
3. 4 special prints of excerpts if a part of the dissertation is to be published as a journal article, plus 2 bound typewritten printed copies of the dissertation or
4. 1 digital copy of the dissertation that is compatible with the university library's system in terms of digital formatting and storage device, plus 4 bound typewritten copies of the dissertation.

In the cases of number 1 and number 4, the doctoral candidate devolves his/her rights to reproduce and distribute copies of the dissertation to the University of Erfurt.

The independently printed typewritten copies are to be printed on age-resistant, wood and acid free paper, durably bound, and structured according to Appendix 2.

In the case of number 4 the doctoral candidate devolves the right to publish electronic copies of the work in databanks to the University of Erfurt, the German Library in Frankfurt/Leipzig and if applicable to the DFG Special Collection Library.

The dean can extend the deadline of when these additional copies must be delivered.

(2) The doctoral candidate must confirm via a confirmation from a(n) supervisor/evaluator, that the obligatory copies of the dissertation are identical in content to the approved dissertation as per § 15 Section 3 sentence 1. The publication of a shortened version can ensue if the complete work is properly cited. The latter requires a written confirmation from an evaluator.

Paragraph 20 Doctoral Degree Certificate

(1) The doctoral degree certificate is received after completion of all examination components and is printed using the date of the disputation of the doctoral dissertation. On the degree certificate, the cumulative grade for the doctoral program is shown and the certificate is signed by the president of the university and the dean. The content of the certificate is indicated in Appendix 3. Upon request the dean can provide the doctoral candidate with a transcript of all individual grades.

(2) Upon request the dean can provide the doctoral candidate with a preliminary conformation of the doctoral degree. The content of this confirmation is shown in Appendix 4.

Paragraph 21 Conferral of the Doctoral Degree

(1) The doctorate title will be presented through the delivery of the doctoral degree certificate. The doctoral candidate can choose to either pick the certificate up from the dean in person or for the certificate to be sent via post. With the conferral of the degree certificate the procedures of the doctoral degree program are officially complete.

(2) The conferment of the doctoral degree takes place as soon as a confirmation from the chairman of the doctoral thesis committee is received, stating that the required revisions have been carried out as per § 15 Section 3 sentence 1 and that the confirmation of publication have been received. A written acceptance statement from a publisher indicating that the dissertation will be published is an acceptable proof of publication.

Paragraph 22 Joint Doctoral Degree Programs (Cooperation with other Universities)

(1) Joint doctoral degree programs with other universities require a cooperation agreement between the respective universities in order to carry out a joint doctoral degree.

(2) Within the framework of such a cooperation, the disputation (§ 16) can be replaced by an oral examination component at the partner university.

(3) The examinations commission (§ 10) can be replaced by a cooperative examinations commission, which will be appointed by the doctoral thesis committee and a similar administrative body at the partner university. In this instance both universities should be equally represented.

Part 2:
Honorary Doctorates

§ 23

Honorary Doctoral Degrees

For accomplishments with a prominent importance and relevance to law, social sciences or economics, the Faculty of Law, Economics and Social Sciences at University of Erfurt can grant the following honorary doctoral degrees: Honorary Doctor of Law (Dr. iur. H.c.); Honorary Doctor of Social Sciences (Dr. rer. pol. h.c.), and Honorary Doctor of Economy (Dr. rer. pol. h.c.).

§ 24

Procedure

(1) The request to confer an honorary doctorate can be submitted by three university professors within the Faculty of Law, Economics and Social Sciences at the University of Erfurt. The request is sent from the dean to the faculty council along with a written justification.

(2) The procedure may only begin once two thirds of the voting members of the faculty council have approved it.

(3) To commence the procedure, the faculty council will assign two university professors to conduct an evaluation of the person in question. Within three months each of the appointed professors must provide the faculty council with a written evaluation about the accomplishments of the honored person and propose a decision. Both evaluations will be forwarded via the dean to the faculty council as well as the professors within the Faculty of Law, Economics and Social Sciences.

(4) The dean will also give the professors within the department the opportunity to express their own opinions. This is the chance for the professors to express to the dean if they agree or disagree with the decision to award an honorary doctorate or to abstain from expressing an opinion. The dean will then inform the faculty council about the results of the consultations with the professors.

(5) Each professor in the Faculty of Law, Economics and Social Sciences is entitled to produce and submit an additional evaluation in regards to the request to confer an honorary doctorate to the faculty council.

(6) The faculty council is responsible to make a decision regarding the request to confer an honorary doctorate. The request is approved when the faculty council passes the request with a two thirds vote of all voting eligible members.

§25

Honorary Doctoral Certificate

The president of the university and the dean will sign and award an honorary doctoral certificate. The content of the certificate is provided in Appendix 5.

§ 26

Conferral of the Honorary Doctorate

The honorary doctorate is granted when the dean presents the certificate to the honorary person.

§ 27

Declaration

The Ministry of Education as well as all German universities and equivalent educational institutions will be informed about the conferral of the honorary doctorate by the dean.

Part 3:
Final Provisions

§ 28

Registry of Doctorates

(1) The dean keeps a register of all doctoral procedures.

(2) When a doctoral candidate receives a doctorate by successfully fulfilling all doctoral examination components, the following information about the person is recorded in the registry: name and address; date of acceptance as a doctoral candidate; dissertation title; name of the supervisor(s); name of the evaluators; day of the disputation; day the doctoral title is issued.

(3) When an honorary doctorate is awarded, the following information about the honorary person is recorded in the registry: name and address; day that the faculty council reached its decision; day that the honorary doctor title is issued.

§29

Gender Equality Clause

In the official (German) version of the doctoral regulations, it is important to note that both the masculine and feminine forms of words are equally valid.

§30

Implementation and Interim Arrangement of the Doctoral Regulations

These regulations become effective on the first day of the month, which will be announced through the University of Erfurt previous month's newsletter and is valid for doctoral candidates who are accepted after the issuance of these regulations. In addition, doctoral candidates who were accepted before this timeframe can request to complete their doctoral studies in accordance with these regulations.

Appendix 1:

Requirements regarding the examination procedures as per § 9 Section 1: submitting the copies of the dissertation

The examination procedures according to § 9 Section 1 require the following format:

1. Format DIN A 4; typewritten; 7cm margin for corrections; 1.5 point spaced; 12 point font; recycled paper with the "Umweltengel" approval from the Federal Environmental Agency (Umweltbundesamt); double sided copies; bound.

2. Title page:

<Title of the Dissertation>

<First and Last Name of the Doctoral Candidate>

Dissertation completed in fulfillment of the requirements for the degree of a Doctor of Law (Dr. iur.)/
Doctor of Social Sciences (Dr. rer. pol.)/ Doctor of Economics (Dr. rer. pol.) from the Faculty of Law,
Economics and Social Sciences at the University of Erfurt

Appendix 2:
Publications in Accordance with § 20 Section 1 numbers 1, 3 and 4

For duplicating certain editions of the dissertation the following requirements, regardless of §20, are to be satisfied:

1. Format DIN A 5, typewritten; double-sided; bound

2. Title page:

- front side:

<Title of the Dissertation>

<First and Last Name of the Doctoral Candidate>

Dissertation completed in fulfillment of the requirements for the degree of a Doctor of Law (Dr. iur.)/
Doctor of Social Sciences (Dr. rer. pol)/ Doctor of Economics (Dr. rer. pol.) from the Faculty of Law,
Economics and Social Sciences at the University of Erfurt

<Year>

- back side:

First Reader: <>

Second Reader: <>

Date of Disputation: <>

3. Last Page:

Curriculum Vitae of the Doctoral Candidate

**Appendix 3:
Doctoral Certificate (Sample)**

The University of Erfurt
Faculty of Law, Economics and Social Sciences
certifies that
Mr./Mrs./Ms. <First and Last Name>,
born on <Date of Birth> in <Place of Birth>,
has received the title of Doctor of Law (Dr. iur.) / Doctor of Social Sciences (Dr.
rer. pol.) / Doctor of Economics (Dr. rer. pol.).

He/She has received a <grade> for the doctoral dissertation

<Title of Dissertation>

and a <grade> for the disputation on <date> in accordance with the doctoral
regulations and has shown qualification and aptitude for producing an advanced
academic work and has therefore received a cumulative grade of
<cumulative grade>.

<Seal>

Erfurt, <Date of Disputation>

<Signature of the university president>
The President

<Signature of the dean>
The Dean

Issued on: <date>

Prof. Dr. <name of the dean>

**Appendix 4:
Preliminary Doctoral Certificate (Sample)**

The University of Erfurt
Faculty of Law, Economics and Social Sciences
certifies that
Mr./Mrs./Ms. <First and Last Name>,
born on <date of birth> in <place of birth>,
he/she is receiving a Doctor of Law (Dr. iur.) / Doctor of Social Sciences (Dr. rer. pol.) / Doctor of
Economics (Dr. rer. pol.). The ability to produce an advanced academic work is proven by the
dissertation <Title of Dissertation> and through the disputation on <Date of Disputation>. The

doctoral examination requirements have been evaluated with a cumulative grade of <cumulative grade>. This preliminary certificate is not does not entitle the candidate to the title of Doctor.

Erfurt, <Date of Disputation>

<Signature>
The Dean

**Appendix 5:
Honorary Doctoral Certificate**

The University of Erfurt

Faculty of Law, Economics and Social Sciences

certifies that

Mr./Mrs./Ms. <First and Last Name>,

born on <Date of Birth> in <Place of Birth>,

has received the honorary title of Honorary Doctor of Law (Dr. iur. h.c.) /
Honorary Doctor of Social Sciences (Dr. rer. pol. h.c.) / Honorary Doctor of
Economics (Dr. rer. pol. h.c.).

He/She has shown achievements with a prominent importance and relevance to
the fields of law, social sciences or economics. <Statement regarding the
credentials/justification of the honorary doctor>

<Seal>

Erfurt, <Date that the faculty council met and voted>

<Signature>
The President

<Signature>
The Dean

Issued on : <date>

Prof. Dr. <Name of Dean>

**Appendix 6:
Declaration**

"I hereby affirm that the work I have submitted was done independently and without unauthorized assistance from third parties. All parts which I took word-for-word or nearly word-for-word from any sort of publication are recognizable as such. I did not use any means or resources other than the literature I have quoted, and all of my own works which I have used (including previous publications and other theses) have been properly cited.

For the selection and the analysis of materials as well as for the preparation of the manuscript I received support from the following people:

1. ...
2. ...
3. ...

Other people were not involved in the intellectual preparation and production of the work at hand. Specifically, I did not receive help from a doctoral supervisor. No one has received any direct (monetary) or indirect compensation for their assistance in connection with this doctoral dissertation.

This work was not submitted in this or any similar form to a doctoral examination committee in or outside of Germany."

Appendix 7:
Declaration in accordance with § 9 Section 1, 3rd bullet point (Sample)

"I hereby affirm that I have not submitted any edition of this dissertation in its current or a similar form to another academic institution in order to acquire a doctoral title, and

that the dissertation or parts of the dissertation have not been used for any other examination procedures."

that the dissertation, specifically the subsequent parts of it, were specifically for these doctoral procedures."

(Check if applicable)